Alibaba Cloud
Apsara Stack Agility SE

Technical Whitepaper

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Document conventions

Style	Description	Example
	A danger notice indicates a situation that will cause major system changes, faults, physical injuries, and other adverse results.	**Danger:** Resetting will result in the loss of user configuration data.
	A warning notice indicates a situation that may cause major system changes, faults, physical injuries, and other adverse results.	**Warning:** Restarting will cause business interruption. About 10 minutes are required to restart an instance.
	A caution notice indicates warning information, supplementary instructions, and other content that the user must understand.	**Notice:** If the weight is set to 0, the server no longer receives new requests.
	A note indicates supplemental instructions, best practices, tips, and other content.	**Note:** You can use Ctrl + A to select all files.
>	Closing angle brackets are used to indicate a multi-level menu cascade.	Click **Settings** > **Network** > **Set network type**.
Bold	Bold formatting is used for buttons, menus, page names, and other UI elements.	Click **OK**.
Courier font	Courier font is used for commands.	Run the `cd /d C:/window` command to enter the Windows system folder.
Italic	Italic formatting is used for parameters and variables.	bae log list `--instanceid` Instance_ID
[] or [a|b]	This format is used for an optional value, where only one item can be selected.	ipconfig [-all|-t]

Style	Description	Example
{} or {a|b}	This format is used for a required value, where only one item can be selected.	switch {active|stand}

Contents

1 IDC requirements

The features and performance of Apsara Stack platforms and services depend on the reliability (24/7 stable operation of servers and network devices) of Apsara stack data centers. This stability relies on the reliability of a series of complex infrastructure such as cooling and power supply. We recommend that you abide to tier 3 or a similar classification when building data centers that host Apsara Stack platforms to reduce stability risks in essence.

1.1 Environment requirements

This topic describes the environment requirements for Apsara Stack data centers.

No.	Description	Requirement	Matching type
1	Areas prone to flooding, such as the downstream of dams or flood-prone regions	Data centers cannot be set up in such areas.	Required
2	Areas prone to landslides, debris flows, or mountain slopes	Data centers cannot be set up in such areas.	Required
3	Seismic zones or fault zones	Data centers cannot be set up in such areas.	Required
4	Distance from areas where have experienced 100-year floods	No less than 100 meters.	Required
5	Distance from hazardous areas in chemical plants, landfills, gas stations, and polluted sites that have flammables and explosives such as dangerous chemicals and gas.	No less than 400 meters.	Required
6	Distance from military arsenals	No less than 1,600 meters.	Required

No.	Description	Requirement	Matching type
7	Distance from airports	The distance from both sides of the runway is no less than 1,000 meters. The distance from runways in the direction of takeoff and landing is no less than 8,000 meters.	Required
8	Distance from public parking lots	No less than 20 meters.	Required
9	Main roads of the physical park	At least two roads are required. One road must be a two-lane, two-way road, which can accommodate trucks 15 meters long and 3 meters wide.	Recommended
10	Distance from commercial and residential areas	No greater than 16,000 meters.	Recommended
11	Physical park	The physical park is independent or can be isolated to provide secure isolation.	Recommended

1.2 Building requirements

This topic describes the building requirements for Apsara Stack data centers.

No.	Description	Requirement	Matching type
1	Gross floor area of a single building	No less than 8,000 square meters.	Recommended
2	Acceptance of fire protection systems installed in buildings	Fire protection systems installed in buildings are tested and approved by the local fire department.	Required
3	Floor load capacity	More than 1,000 kg per square meters.	Required
4	Layer height	The clear span of buildings is greater than 3.6 meters.	Required

No.	Description	Requirement	Matching type
5	Transportation	Freight elevators are required for buildings no less than two floors and have a weight capacity of no less than two tons. The transportation aisles are no less than 2.4 meters wide and no less than 2.5 meters high.	Required
6	Classification of seismic protection of building constructions	The classification of seismic protection of building constructions is not lower than building type C.	Required
7	Fire-resistance rating	No less than Level 2.	Required
8	Waterproof rating	Level 1.	Required

1.3 Power system

This topic describes the requirements for power systems in Apsara Stack data centers.

No.	Description	Requirement	Matching type
1	Power introduction	At least a written certificate with power supply assurance is required.	Required
2	Route requirements for mains supply introduction	Dual routes are required and their distance must be greater than 10 meters. Cables are routed to the park on different roads.	Required
3	Requirements for mains supply introduction to substations	Class-A mains supply and two different circuits or two 10 kV, 35 kV, or 110 kV substations are used.	Required

No.	Description	Requirement	Matching type
4	Diesel generators	Diesel generators are configured for N + 1 redundancy. Diesel generators can start under load within two minutes.	Required
5	Period of time for which oil in tanks can be used	Greater than eight hours.	Required
6	Uninterruptible power supply (UPS) and redundancy	A UPS system based on 2N redundancy configuration is used for AC distribution. Or a high-voltage direct current (HVDC) system is used for a single mains supply.	Required
7	Period of time for which storage batteries can be discharged	No less than 15 minutes.	Required
8	Cabinet power distribution	A dual-circuit power supply system is used, which includes transformers, distribution lines, uninterruptible power supply, rack-mountable power distribution cabinets, and rack power distribution units (PDUs).	Required
9	Cabinet power consumption	No less than eight kW.	Recommended

1.4 Cooling system

This topic describes the requirements for the cooling system in Apsara Stack data centers.

No.	Description	Requirement	Matching type
1	Air conditioners, water pumps, water chiller units, and cooling towers in data centers	Air conditioners, water pumps, water chiller units, and cooling towers in data centers are configured for N + 1 redundancy.	Required
2	Power distribution for precision air conditioners in a chilled water system	Uninterrupted power supply (UPS)	Required
3	Power distribution for water supply pumps in a water-cooling system	UPS	Required
4	Period of time for which cool storage equipment can provide cooling	Time period during which cool storage equipment can provide cooling is no less than 10 minutes. When the cooling system is interrupted, the temperature of cold aisles in data centers cannot exceed 30 degrees Celsius.	Required
5	Building automation system	UPS. Redundancy must be provided for the direct digital controller (DDC) system and servers.	Recommended

1.5 Monitoring requirements

This topic describes the monitoring requirements for Apsara Stack data centers.

No.	Description	Requirement	Matching type
1	Monitoring access standards	Network communication is enabled based on TCP or IP sockets.	Recommended

No.	Description	Requirement	Matching type
2	Monitoring scope	The following items in data centers are monitored: temperature and humidity inside the data centers, terminal devices of the air conditioning system, chillers, pumps of the air conditioning system, power distribution cabinets, high-voltage direct current (HVDC) systems, uninterrupted power supply (UPS) systems, transformers, diesel generators, and mains supply.	Required

1.6 O&M requirements

This topic describes the O&M requirements for Apsara Stack data centers.

No.	Description	Requirement	Matching type
1	Technical team	A technical team must consist of the following personnel: one person for building decoration, one to two persons for air conditioning and refrigeration, one to two persons for high voltage power system, and at least one person for low voltage system monitoring.	Recommended
2	Construction delivery capability	The business deployment requirements are met (1,000 cabinets delivered within six months).	Recommended
3	Service-level agreement (SLA)	The availability of power, cooling, and network is above 99.99%.	Recommended
4	O&M personnel in the O&M system	The level and number of O&M personnel are confirmed.	Required

No.	Description	Requirement	Matching type
5	Professional qualifications of O&M personnel in the O&M system	The number of professional and technical personnel is no less than two in each of the following fields: electrical system, heating, ventilation, and air conditioning (HVAC), fire protection, and low voltage system.	Recommended
6	Duty system of the O&M system	The personnel on duty and emergency response mechanism are available 24/7/365 for infrastructure and network maintenance in data centers.	Required
7	Hardware and software maintenance of devices in the O&M system	A 24/7/365 professional maintenance service is purchased.	Required
8	Building management system (BMS) and video surveillance in the O&M system	The power and environment supervision system or BMS is used to monitor the running status of key infrastructure. The 24/7 video surveillance is provided, and the records are retained for 90 days.	Required
9	Entry and exit management of personnel and articles in the O&M system	A clear management process is provided, and records are complete and traceable.	Required
10	Service qualification	IDC business qualification: An Internet Data Centre Value Added Telecom Service license (IDC VATS) issued by the Chinese government is recommended.	Recommended

No.	Description	Requirement	Matching type
11	Third-party certification	The SSAE 16, ISO 17799, and ISO 9001 audits are passed. SSAE 16 ensures that service providers have sufficient security controls and safeguards in place to protect the security of user data. ISO 17799 ensures that the information security of service providers is less likely to be damaged. ISO 9001 sets out the criteria for a quality management system (QMS) of service providers.	Recommended
12	Operator personnel handover interface	A clear personnel handover interface is provided, including the assignment of roles and responsibilities, and the problem escalation path to the personnel who is in charge of the project and who holds the highest rank on the operator side. All responsibilities must be assigned and confirmed at the beginning of the project and be carried out for the entire project.	Recommended

1.7 Communication requirements

This topic describes the communication requirements for Apsara Stack data centers.

No.	Description	Requirement	Matching type
1	Number of direct routes between two data centers	Two direct routes with distances greater than 500 meters. Cables cannot be routed on the same conduit, trench, or route.	Required

No.	Description	Requirement	Matching type
2	Number of outgoing routes in a single data center	Provide two outgoing routes. The number of outgoing routes can be expanded to three as required before the project is delivered.	Recommended
3	Number of outgoing optical fibers	No less than 20 pairs.	Recommended
4	Routing method of optical cables	Optical cables must be placed into buried conduits. Overhead cabling is not allowed.	Required
5	Connection method of optical cables	Optical cables must be connected inside data centers. Outdoor connections are not allowed.	Required
6	Outgoing conduits	The park has more than two outgoing conduits in different directions and their distance is greater than 50 meters. Each outgoing conduit corresponds to a different entrance room.	Recommended
7	Communication rooms	There are two separate communication rooms in each data center.	Recommended
8	Leased lines and bandwidth	The data centers have the capabilities to support leased lines, Border Gateway Protocol (BGP) lines, and static bandwidth.	Recommended
9	Optical cable routes inside data centers	Cables inside data centers must be routed separately to ensure dual routes. The distance between the two routes must be greater than 10 meters.	Required
10	Access to optical cables of other operators	The data centers can access to optical cables of other operators.	Recommended

No.	Description	Requirement	Matching type
11	Number of direct routes between buildings	Two routes are required and four routes are preferred within physical fences. Three routes are required and four routes are preferred outside fences built on property lines. These routes can be completed when data centers are delivered. Direction of the routes must be approved by Alibaba Cloud.	Recommended
12	Number of optical fibers between buildings	At least 384-core × 4 optical fibers are required and can be scaled out.	Recommended

2 Object Storage Service (OSS)

2.1 What is OSS?

Apsara Stack Object Storage Service (OSS) is a secure, cost-effective, and highly reliable storage service that is capable of processing large amounts of data.

It can be considered as an immediately available storage solution featuring unlimited storage capacity. Compared with the user-created server storage, OSS has many outstanding advantages in reliability, security, cost, and data processing capabilities. OSS enables you to store and retrieve a variety of unstructured data objects, such as texts, images, audios, and videos over the network at any time.

OSS uploads data files as objects to buckets. OSS is a distributed object storage service that uses the key-value pair format. You can retrieve object content based on unique object names that act as keys.

In OSS, you can:

- Create a bucket and upload objects to the bucket.
- Obtain an object URL to share or download the object.
- Modify attributes or metadata of an object, and configure ACL for the object.
- Perform basic and advanced OSS operations in the OSS console.
- Perform basic and advanced operations by using SDKs or calling RESTful API operations in your application.

2.2 Product architecture

Object Storage Service (OSS) is a storage solution built on the Apsara system. It is based on the infrastructure such as Apsara Distributed File System and SchedulerX. This infrastructure provides Apsara Stack services such as OSS with important features such as distributed

scheduling, high-speed networks, and distributed storage. The following figure shows the OSS architecture.

Figure 2-1: OSS architecture
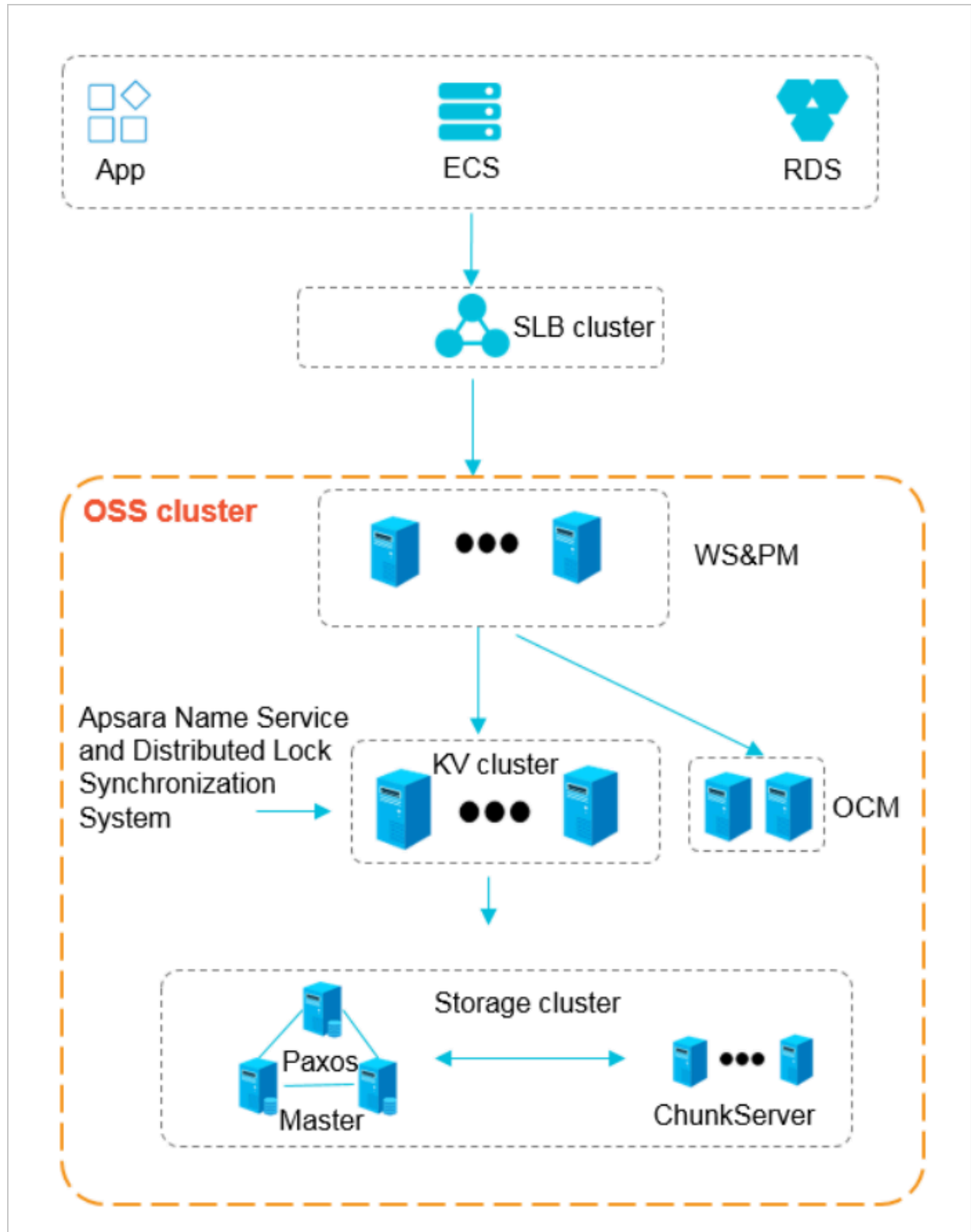
- WS & PM: the protocol layer that receives and authenticates the request sent by using a RESTful protocol. If the authentication succeeds, the request is forwarded to KVEngine for further processing. If the authentication fails, an error message is returned.

- KV cluster: used to process structured data, including reading and writing data based on object names. The KV cluster also supports sporadic bursts of requests. When a service has to run on a different physical server due to a change to the service coordination cluster, the KV cluster can coordinate and find the access point.

- Storage cluster: Metadata is stored in the master node. A distributed message consistency protocol of Paxos is adopted between Master nodes to ensure the consistency of metadata. This method ensures efficient distributed storage of and access to objects.

2.3 Features

Bucket overview

All buckets of the requester are displayed. By default, if you use HTTP to access an OSS endpoint, all of your buckets are displayed.

Set and query the ACL of a bucket

You can set and view the ACL of a bucket. You can set any one of the following ACLs for a bucket:

- Private: Only the owner or authorized users of this bucket can read and write objects in the bucket. Other users, including anonymous users cannot access the objects in the bucket without authorization.

- Public read: Only the owner or authorized users of this bucket can write objects in the bucket. Other users, including anonymous users can only read objects in the bucket.

- Public read/write: Any users, including anonymous users can read and write objects in the bucket.

Create or delete buckets

By default, you can create a maximum of 100 buckets. Bucket names must comply with the naming rules.

The following scenarios may exist when you create a bucket:

- If the bucket you want to create does not exist, the system creates a bucket of a specified name and returns a flag, indicating that the bucket is created.

- If the bucket you want to create exists and the requester is the original bucket owner, the original bucket is retained and a flag is returned, indicating that the bucket is created.

- If the bucket you want to create exists and the requester is not the original bucket owner, a flag is returned, indicating that the bucket fails to create.

If you want to delete a bucket, ensure that the following conditions are met:

- The bucket exists.

- You have the permissions to delete the bucket.

- The bucket contains no data.

List all objects in a bucket

To list all objects in a specified bucket, you must have the corresponding operation permissions on the bucket. If the specified bucket does not exist, an error message is returned.

OSS allows you to search for buckets by prefix and configure the number of objects that can be returned for each search. The maximum number of objects that can be returned for each search is 1,000.

Upload or delete objects

You can upload objects to a specified bucket. You can upload objects to a bucket if the bucket exists and you have the corresponding operation permissions on the bucket. If the object you want to upload has the same name as that of an existing object in the bucket, the new object will overwrite the original object. You can delete a specified object if you have the corresponding operation permissions on the object.

Obtain the content or metadata of objects

To obtain the content or metadata of an object, you must have the corresponding operation permissions on the object.

Access objects

OSS allows you to use a URL to access an object.

Logging and monitoring

You can enable logging for a bucket. After you enable this feature, OSS pushes the access logs on an hourly basis. You can view information such as buckets, traffic, and requests on the Object Storage Service homepage in the Apsara Stack Cloud Management (ASCM) console.

3 ApsaraDB for RDS

3.1 What is ApsaraDB for RDS?

ApsaraDB for RDS is a stable, reliable, and automatically scaling online database service. Based on the distributed file system and high-performance storage, ApsaraDB for RDS allows you to easily perform database operations and maintenance with its set of solutions for disaster recovery, backup, restoration, monitoring, and migration.

Originally based on a branch of MySQL, ApsaraDB RDS for MySQL has proven its performance and throughput during the high-volume concurrent traffic of Double 11. ApsaraDB RDS for MySQL provides whitelist configuration, backup and restoration, transparent data encryption, data migration, and management for instances, accounts, and databases. It also provides the following advanced features:

- Read-only instance: In scenarios where RDS has a small number of write requests but a large number of read requests, you can enable read/write splitting to distribute read requests away from the primary instance. Read-only instances allow ApsaraDB RDS for MySQL 5.6 to automatically scale the reading capability and increase the application throughput when a large amount of data is being read.
- Data compression: ApsaraDB RDS for MySQL 5.6 allows you to compress data by using the TokuDB storage engine. Data transferred from the InnoDB storage engine to the TokuDB storage engine can be reduced by 80% to 90% in volume. 2 TB of data in InnoDB can be compressed to 400 GB or less in TokuDB. In addition to data compression, TokuDB supports transaction and online DDL operations. TokuDB is compatible with MyISAM and InnoDB applications.

3.2 Architecture

The following figure shows the system architecture of ApsaraDB for RDS.

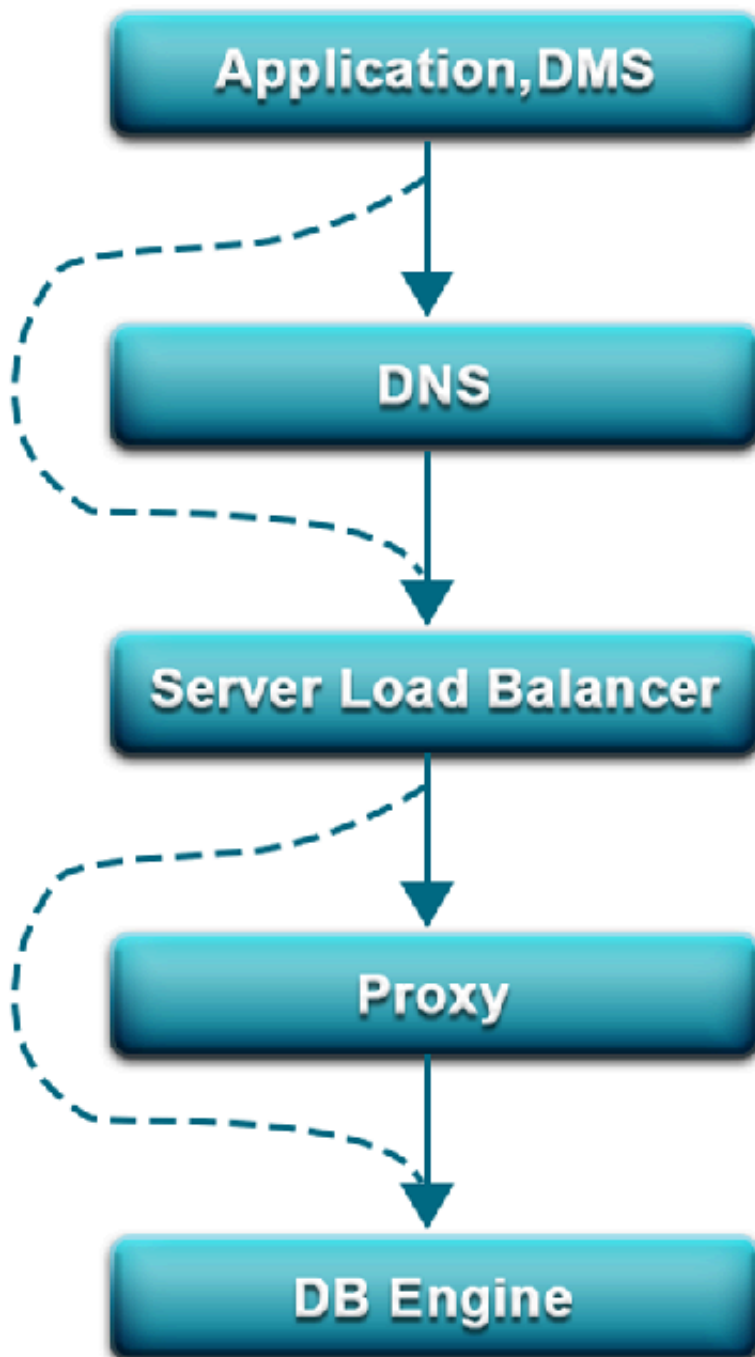**Figure 3-1: RDS system architecture**
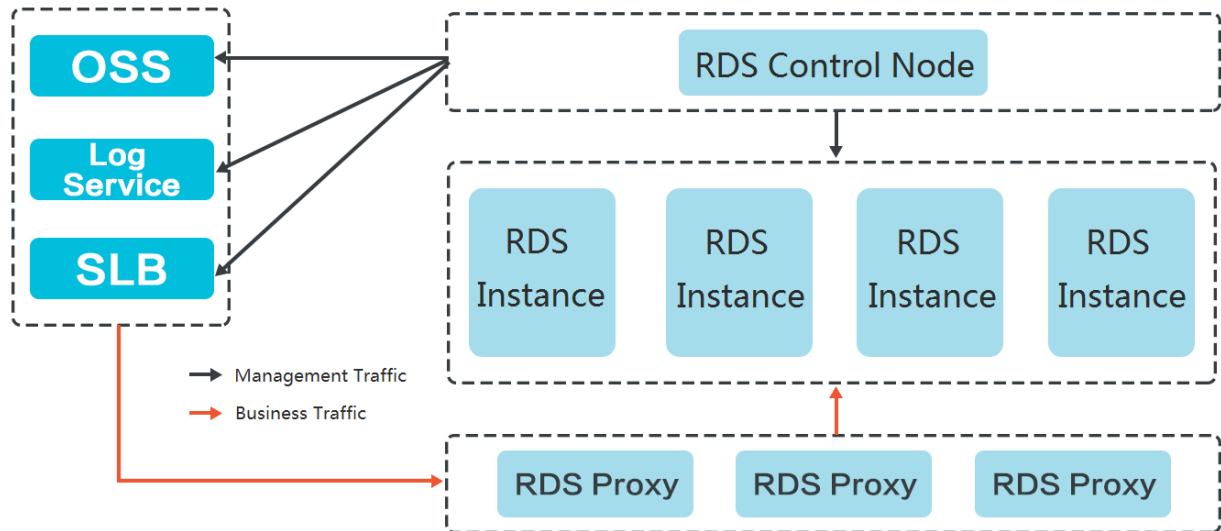
3.3 Features

3.3.1 Data link service

The data link service allows you to add, delete, modify, and query the table schema and data.

Figure 3-2: RDS data link service

DNS

The DNS module can dynamically resolve domain names to IP addresses. Therefore, IP address changes do not affect the performance of RDS instances.

For example, the domain name of an ApsaraDB for RDS instance is test.rds.aliyun.com, and its corresponding IP address is 10.1.1.1. The instance can be accessed when either test.rds. aliyun.com or 10.1.1.1 is configured in the connection pool of a program.

After a zone migration or version upgrade is performed for this ApsaraDB for RDS instance , the IP address may change to 10.1.1.2. If the domain name test.rds.aliyun.com is configured in the connection pool, the instance can still be accessed. However, if the IP address 10.1.1.1 is configured in the connection pool, the instance will no longer be accessible.

SLB

The SLB module provides both the internal IP address and public IP address of an ApsaraDB for RDS instance. Therefore, server changes do not affect the performance of the instance.

For example, the internal IP address of an RDS instance is 10.1.1.1, and the correspond ing Proxy or DB Engine runs on 192.168.0.1. The SLB module typically redirects all traffic destined for 10.1.1.1 to 192.168.0.1. If 192.168.0.1 fails, another server in hot standby status with the IP address 192.168.0.2 will take over for the initial server. In this case, the SLB module will redirect all traffic destined for 10.1.1.1 to 192.168.0.2, and the RDS instance will continue to provide services normally.

Proxy

The Proxy module provides a number of features including data routing, traffic detection, and session persistence.

- Data routing: aggregates the distributed complex queries found in big data scenarios and provides the corresponding capacity management capabilities.
- Traffic detection: reduces SQL injection risks and supports SQL log backtracking when necessary.
- Session persistence: prevents interruptions to the database connection when faults occur.

DB Engine

The following table describes the mainstream database protocols supported by RDS.

Table 3-1: RDS database protocols

RDBMS	Version
MySQL	5.6 (including read-only instances)

3.3.2 High-availability service

The high-availability (HA) service ensures the availability of data link services and processes internal database exceptions. The HA service is implemented by multiple HA nodes.

Figure 3-3: RDS HA service
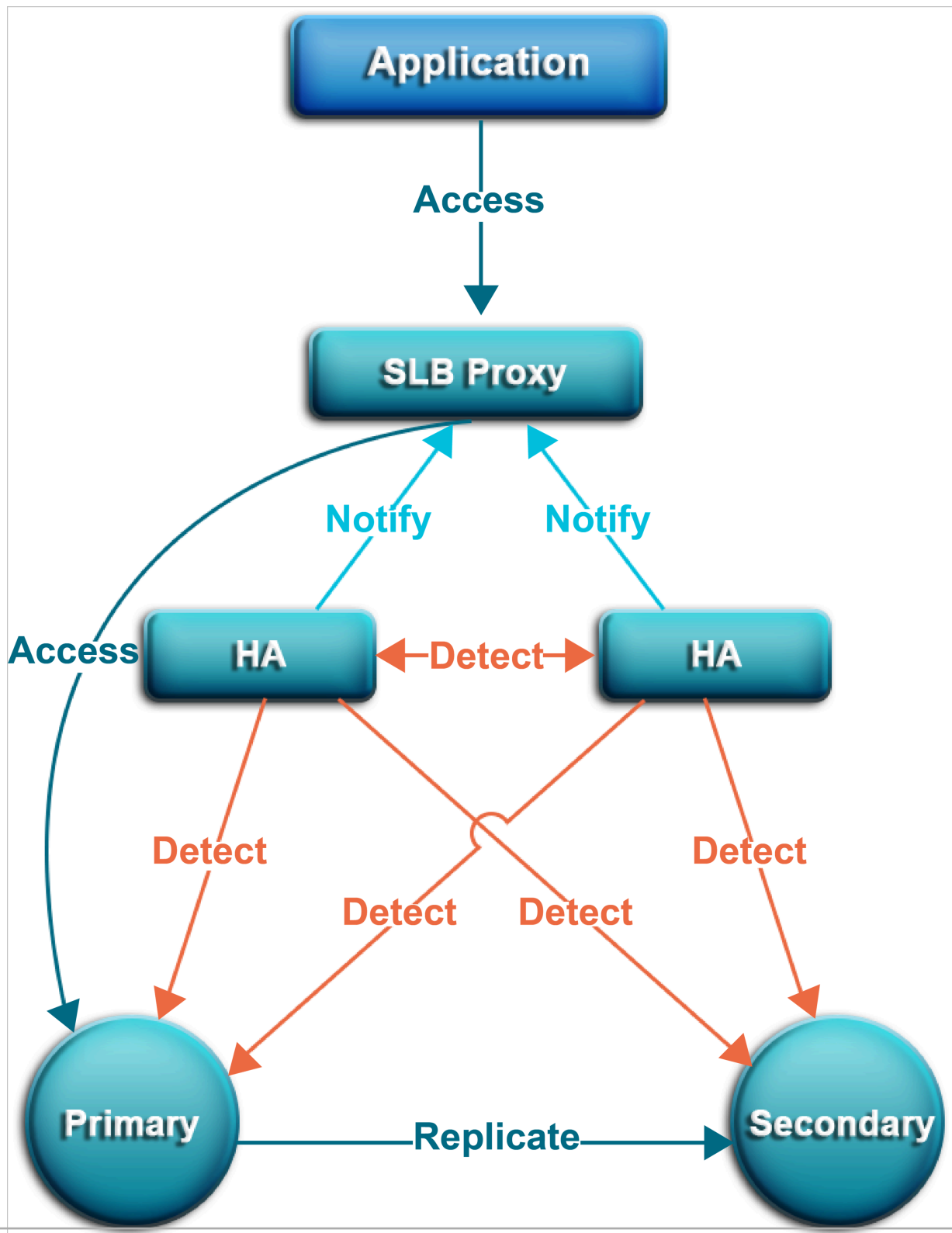
Detection

The Detection module checks whether the primary and secondary nodes of the DB Engine are providing services normally.

The HA node uses heartbeat information taken at 8 to 10 second intervals to determine the health status of the primary node. This information, along with the health status of the secondary node and heartbeat information from other HA nodes, provides a reference for the Detection module. All this information helps the module avoid misjudgment caused by exceptions such as network jitter. Failover can be completed quickly.

Repair

The Repair module maintains the replication relationship between the primary and secondary nodes of the DB Engine. It can also correct errors that occur on either node during normal operations. For example:

- It can automatically restore primary/secondary replication after a disconnection.
- It can automatically repair table-level damage to the primary or secondary node.
- It can save and automatically repair the primary or secondary node in case of crashes.

Notice

The Notice module informs the SLB or Proxy module of status changes to the primary and secondary nodes to ensure that you always access the correct node.

For example, the Detection module discovers problems with the primary node and instructs the Repair module to resolve these problems. If the Repair module fails to resolve a problem, it instructs the Notice module to perform traffic switchover. The Notice module forwards the switching request to the SLB or Proxy module, and then all traffic is redirected to the secondary node.

Meanwhile, the Repair module creates a new secondary node on a different physical server and synchronizes this change back to the Detection module. The Detection module rechecks the health status of the instance.

3.3.3 Backup service

This service supports offline data backup, dumping, and recovery.

Figure 3-4: RDS backup service
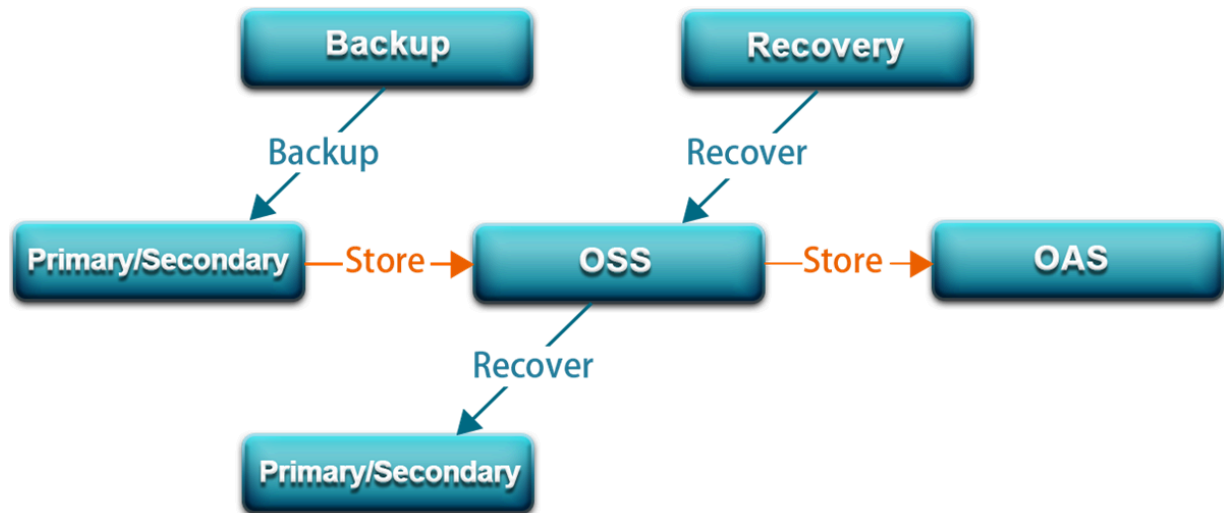
Backup

The Backup module compresses and uploads data and logs on both the primary and secondary nodes. ApsaraDB for RDS uploads backup files to OSS and dumps the backup files to a more cost-effective and persistent Archive Storage system. When the secondary node is operating normally, backup is always created on the secondary node so as not to affect the services on the primary node. When the secondary node is unavailable or damaged, the Backup module creates backups on the primary node.

Recovery

The Recovery module restores backup files stored in OSS to a destination node. The Recovery module provides the following features:

- Primary node rollback: rolls back the primary node to a specified point in time when an operation error occurs.
- Secondary node repair: creates a new secondary node to reduce risks when an irreparable fault occurs on the secondary node.
- Read-only instance creation: creates a read-only instance from backup files.

Storage

The Storage module uploads, dumps, and downloads backup files.

All backup data is uploaded to OSS for storage. You can obtain temporary links to download backups as necessary.

> **Note:**
>
> ApsaraDB RDS for PPAS does not allow you to download backup files.

In certain scenarios, the Storage module allows you to dump backup files from OSS to Archive Storage for more cost-effective and longer-term offline storage.

3.3.4 Monitoring service

ApsaraDB for RDS provides multilevel monitoring services across the physical, network, and application layers to ensure service availability.

Service

The Service module tracks the status of services. For example, the Service module monitors whether SLB, OSS, and other cloud services on which RDS depends are operating normally. The monitored metrics include functionality and response time. The Service module also uses logs to determine whether the internal RDS services are operating properly.

Network

The Network module tracks statuses at the network layer. The monitored metrics include:

- Connectivity between ECS and RDS
- Connectivity between physical RDS servers
- Rates of packet loss on VRouters and VSwitches

OS

The OS module tracks the statuses of hardware and OS kernel. The monitored metrics include:

- Hardware maintenance: The OS module constantly checks the operating status of the CPU, memory, motherboard, and storage device. It can predict faults in advance and automatically submit repair reports when it determines a fault is likely to occur.
- OS kernel monitoring: The OS module tracks all database calls and analyzes the causes of slow calls or call errors based on the kernel status.

Instance

The Instance module collects the following information about ApsaraDB for RDS instances:

- Instance availability information

- Instance capacity and performance metrics

- Instance SQL execution records

3.3.5 Scheduling service

The scheduling service allocates resources and manages instance versions.

Resource

The Resource module allocates and integrates underlying RDS resources when you enable and migrate instances. When you use the RDS console or an API operation to create an instance, the Resource module calculates the most suitable host to carry traffic to and from the instance. A similar process occurs during ApsaraDB for RDS instance migration.

After repeated instance creation, deletion, and migration operations, the Resource module calculates the degree of resource fragmentation. It also regularly integrates resources to improve the service carrying capacity.

3.3.6 Migration service

The migration service can migrate data from your on-premises databases to ApsaraDB for RDS.

DTS

DTS can migrate data from on-premises databases to ApsaraDB RDS for MySQL without stopping services.

DTS is a data exchange service that streamlines data migration, real-time synchronization, and subscription. DTS is dedicated to implementing remote and millisecond-speed asynchronous data transmission in various scenarios. Based on the active geo-redundancy architecture designed for Double 11, DTS can implement security, scalability, and high availability by providing real-time data streams to up to thousands of downstream applications.

4 Data Transmission Service (DTS)

4.1 What is DTS?

Data Transmission Service (DTS) is a data service provided by Alibaba Cloud. DTS supports data transmission between various types of data sources, such as relational databases.

DTS provides data transmission capabilities such as data migration and change tracking. DTS can be used in many scenarios, such as interruption-free data migration, geo-disaster recovery, cross-border data synchronization, and cache updates. DTS helps you build a data architecture that features high availability, scalability, and security.

- DTS allows you to simplify data transmission and focus on business development.
- DTS supports MySQL as the data source type.

4.1.1 Environment requirements

You must use DTS on hosts of the following models:

- PF51. *
- PV52P2M1. *
- DTS_E. *
- PF61. *
- PF61P1. *
- PV62P2M1. *
- PV52P1. *
- Q5F53M1. *
- PF52M2. *
- Q41. *
- Q5N1.22
- Q5N1.2B
- Q46.22
- Q46.2B
- W41.22
- W41.2B
- W1.22

- W1.2B

- W1.2C

- D13.12

You must use the following operating system:

AliOS7U2-x86-64

> ⓘ **Notice:**
>
> - Do not use DTS on hosts that are excluded from the preceding models.
> - The /apsara directory used by DTS resides on only one hard disk. Make sure that the available space in the directory is larger than 2 TB.
>
>   If the available space in the /apsara directory is less than 2 TB, tasks cannot run as expected and errors will occur. If a task fails, the task recovery and data pulling are affected.

4.2 Benefits

DTS supports data transmission between data sources such as relational databases and OLAP databases. DTS provides data transmission capabilities such as data migration and change tracking. Compared with other data migration and synchronization tools, DTS provides transmission channels with higher compatibility, performance, security, and reliability. DTS also provides a variety of features to help you create and manage transmission channels.

High compatibility

DTS supports data migration and synchronization between homogeneous and heterogene ous data sources. For migration between heterogeneous data sources, DTS supports schema conversion.

DTS provides data transmission capabilities such as data migration and change tracking. In change tracking, data is transmitted in real time.

DTS minimizes the impact of data migration on applications to ensure service continuity. The application downtime during data migration is minimized to several seconds.

High performance

DTS uses high-end servers to ensure the performance of each data synchronization or migration channel.

DTS uses a variety of optimization measures for data migration.

Security and reliability

DTS is implemented based on clusters. If a node in a cluster is unavailable or faulty, the control center switches all tasks on this node to another node in the cluster.

Secure transmission protocols and tokens are used for authentication across DTS modules to ensure reliable data transmission.

Ease of use

The DTS console provides a codeless wizard for you to create and manage channels.

To facilitate channel management, the DTS console shows information about transmission channels, such as transmission status, progress, and performance.

DTS supports resumable transmission, and monitors channel status on a regular basis. If DTS detects a network failure or system error, DTS automatically fixes the failure or error and restarts the channel. If the failure or error persists, you must manually repair and restart the channel in the DTS console.

4.3 Architecture

System architecture

The following figure shows the system architecture of Data Transmission Service (DTS).

Figure 4-1: System architecture
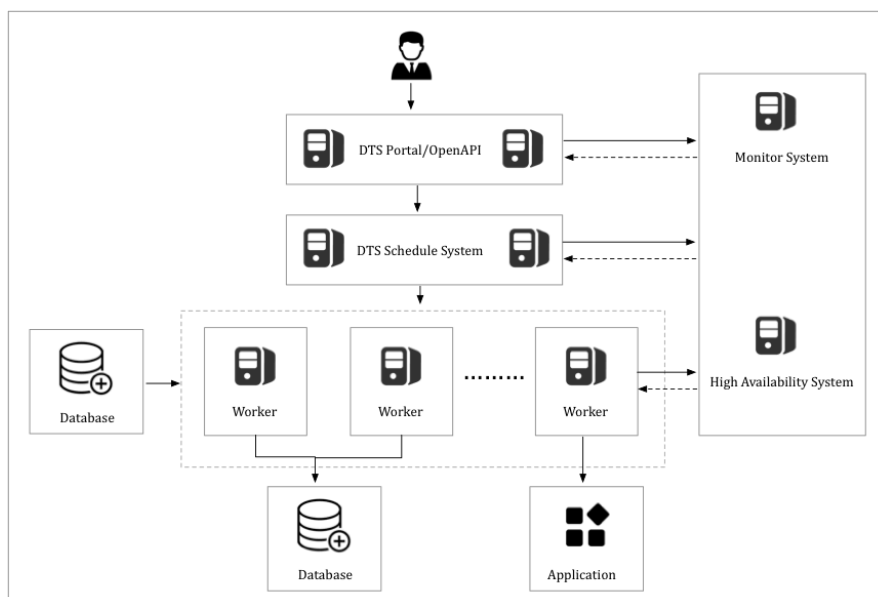
- High availability

  Each module in DTS has primary and secondary nodes to ensure high availability. The disaster recovery module runs a health check on each node in real time. If a node failure is detected, the module requires only a few seconds to switch the channel to a healthy node.

- Connection reliability

  To ensure the connection reliability of change tracking channels, the disaster recovery module checks for configuration changes, such as changes of a data source address. If a data source address is changed, the module allocates a new connection method to ensure the stability of the channel.

Design concept of data migration

The following figure shows the design concept of data migration.

Figure 4-2: Design concept of data migration
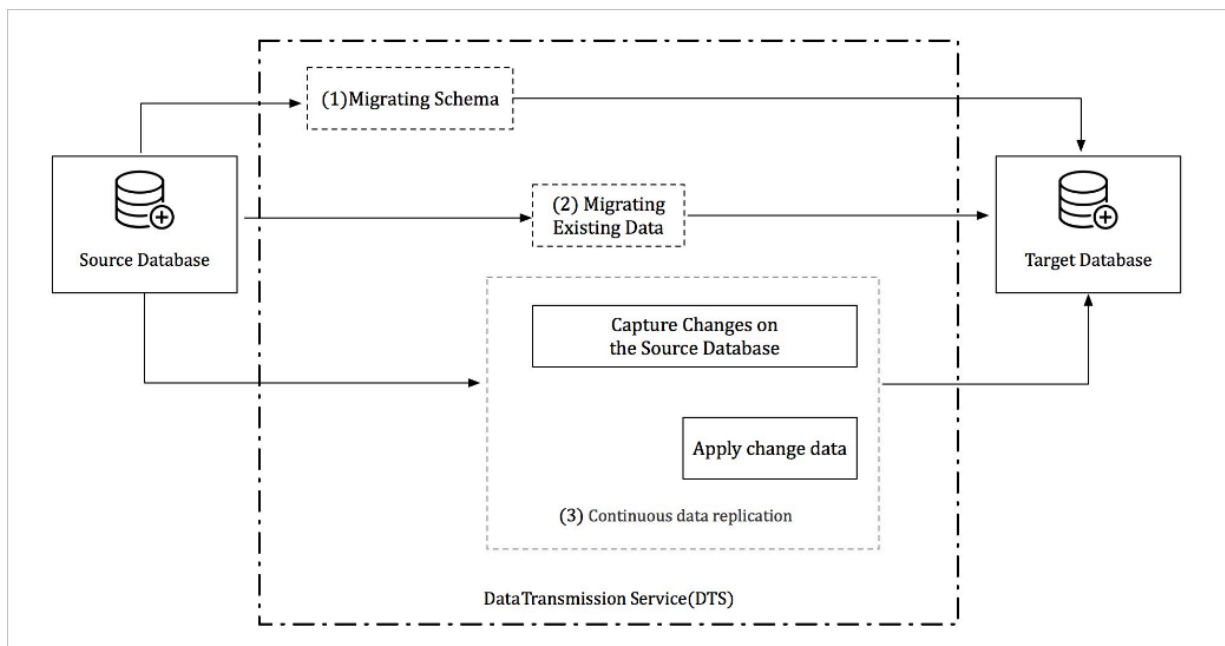
Data migration supports schema migration, full data migration, and incremental data migration. The following processes ensure service continuity during data migration:

1. Schema migration

2. Full data migration

3. Incremental data migration

To migrate data between heterogeneous databases, DTS reads the source database schema, converts the schema into the syntax of the destination database, and imports the schema to the destination database.

A full data migration requires a long period of time. During this process, incremental data is continuously written to the source database. To ensure data consistency, DTS starts the incremental data reading module before full data migration. This module retrieves incremental data from the source database, and parses, encapsulates, and locally stores the data.

After the full data migration is complete, DTS starts the incremental data loading module. This module retrieves incremental data from the incremental data reading module. After reverse parsing, filtering, and encapsulation, incremental data is migrated to the destination database in real time.

Design concept of change tracking

The following figure shows the design concept of change tracking.

Figure 4-3: Design concept of change tracking
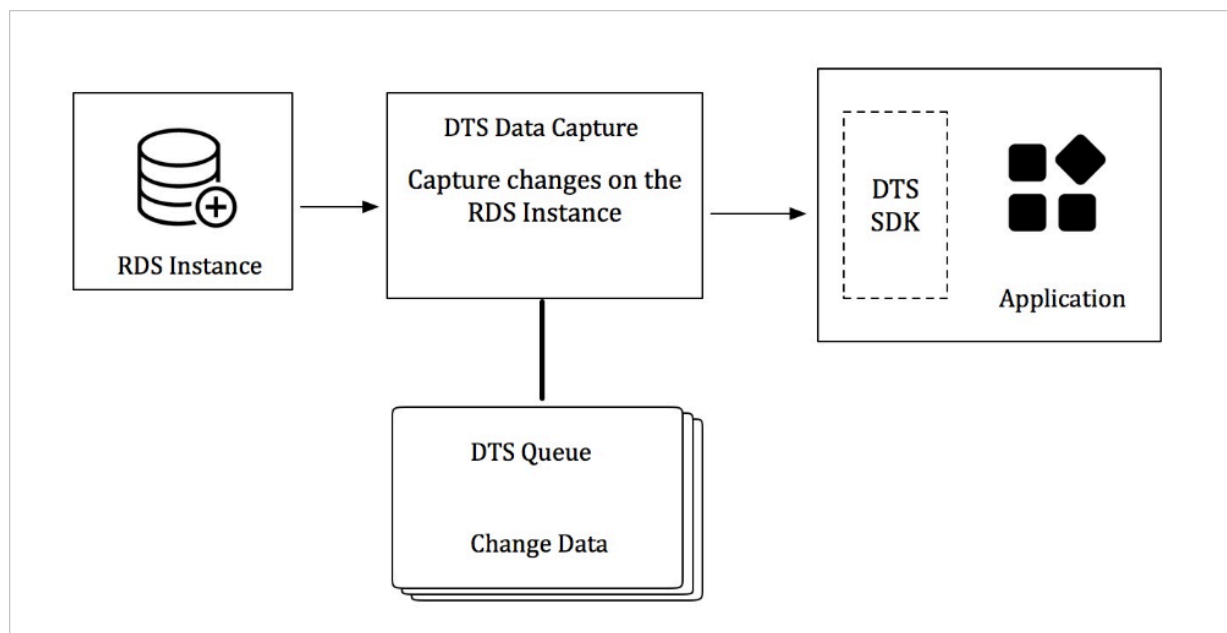
The change tracking feature allows you to obtain incremental data from an RDS instance in real time. You can subscribe to incremental data on the change tracking server by using DTS SDKs. You can also customize data consumption rules based on your business requirements.

The incremental data reading module on the server side of DTS retrieves raw data from the source instance. After parsing, filtering, and syntax conversion, incremental data is locally stored.

The incremental data reading module connects to the source instance by using a database protocol and retrieves incremental data from the source instance in real time. If the source instance is an ApsaraDB RDS for MySQL instance, the incremental data reading module connects to the source instance by using the binary log dump protocol.

DTS ensures high availability of the incremental data reading module and consumption SDK processes.

If an error is detected in the incremental data reading module, the disaster recovery module restarts the incremental data reading module on a healthy node. This ensures high availability of the incremental data reading module.

DTS ensures high availability of consumption SDK processes on the server. If you start multiple consumption SDK processes for the same change tracking channel, the server pushes incremental data to only one process at a time. If an error occurs on a process, the server pushes data to another healthy consumption process.

4.4 Features

4.4.1 Data migration

You can use DTS to migrate data between various types of data sources. Typical scenarios include data migration to the cloud, data migration between instances within Apsara Stack, and database sharding and scaling. DTS supports data migration between homogeneous and heterogeneous data sources. It also supports extract, transform, and load (ETL) features such as data filtering and object name mapping for databases, tables, and columns.

Data source and data migration types

The following table lists the data sources and data migration types that are supported by DTS.

Table 4-1: Data source and data migration types

Data source	Schema migration	Full data migration	Incremental data migration
MySQL database	Supported	Supported	Supported

DTS supports migrating data from the following types of data sources:

- User-created on-premises database

DTS supports migrating data to the following types of data sources:

- User-created on-premises database

Online migration

DTS uses online migration. You only need to configure the source instance, destination instance, and objects to be migrated. DTS automatically completes the entire data migration process. You can select all of the supported migration types to minimize the impact of online data migration on your services. However, you must ensure that DTS servers can connect to both the source and destination instances.

Data migration types

DTS supports schema migration, full data migration, and incremental data migration.

- Schema migration: migrates schemas from the source instance to the destination instance.
- Full data migration: migrates historical data from the source instance to the destination instance.
- Incremental data migration: migrates incremental data that is generated during migration from the source instance to the destination instance in real time. You can select schema migration, full data migration, and incremental migration to ensure service continuity.

ETL features

Data migration supports the following extract, transform, and load (ETL) features:

- Object name mapping for databases, tables, and columns: You can migrate data between two databases, tables, or columns that have different names.

- Data filtering: You can use SQL conditions to filter the required data in a specific table. For example, you can specify a time range to migrate only the latest data.

Alerts

If an error occurs during data migration, DTS immediately sends an SMS alert to the task owner. This allows the owner to handle the error at the earliest opportunity.

Migration task

A migration task is a basic unit of data migration. To migrate data, you must create a migration task in the DTS console. To create a migration task, you must configure the required information such as the source and destination instances, migration types, and objects to be migrated. You can create, manage, stop, and delete migration tasks in the DTS console.

The following table describes the statuses of a migration task.

Table 4-2: Statuses of a migration task

Status	Description	Available operation
Not Started	The migration task is configured but the precheck is not performed .	• Perform the precheck • Delete the migration task
Prechecking	A precheck is being performed but the migration task is not started.	Delete the migration task
Passed	The migration task has passed the precheck but has not been started.	• Start the migration task • Delete the migration task

Status	Description	Available operation
Migrating	Data is being migrated.	• Pause the migration task • Stop the migration task • Delete the migration task
Migration Failed	An error occurred during migration. You can identify the point of failure based on the progress of the migration task.	Delete the migration task
Paused	The migration task is paused.	• Start the migration task • Delete the migration task
Completed	The migration task is completed, or you have stopped data migration by clicking **End**.	Delete the migration task

4.4.2 Change tracking

You can use DTS to track data changes from user-created MySQL databases in real time. This feature applies to the following scenarios: cache updates, business decoupling, asynchronous data processing, and real-time synchronization of heterogeneous data and extract, transform, and load (ETL) operations.

Feature

You can use DTS to track data changes from user-created MySQL databases.

Source database type

The change tracking feature supports only MySQL databases.

Objects for change tracking

The objects for change tracking include tables and databases. You can specify one or more tables from which you want to track data changes.

In change tracking, data changes include data manipulation language (DML) operations and data definition language (DDL) operations. When you configure a change tracking task , you can select the operation type.

Change tracking channel

A change tracking channel is the basic unit of change tracking and data consumption. To track data changes from a MySQL database, you must create a change tracking channel for the MySQL database in the DTS console. The change tracking channel pulls incremental data from the MySQL database in real time and locally stores incremental data. You can use the DTS SDK to consume incremental data from the change tracking channel. You can also create, manage, or delete change tracking channels in the DTS console.

A change tracking channel can be consumed by only one downstream SDK client. To track data changes from a MySQL database by using multiple downstream SDK clients, you must create an equivalent number of change tracking channels.

The Table 4-3: Statuses of a change tracking channel table describes the statuses of a change tracking channel.

Table 4-3: Statuses of a change tracking channel

Channel status	Description	Available operation
Prechecking	The configuration of the change tracking channel is complete and a precheck is being performed.	Delete the change tracking channel
Not Started	The change tracking channel has passed the precheck but has not been started.	• Start the change tracking channel • Delete the change tracking channel

Channel status	Description	Available operation
Performing Initial Change Tracking	The initial change tracking is in progress. This process takes about one minute.	Delete the change tracking channel
Normal	Incremental data is being pulled from the MySQL database.	• View sample code • View tracked data changes • Delete the change tracking channel
Error	An error occurs when the change tracking channel is pulling incremental data from the MySQL database.	• View sample code • Delete the change tracking channel

Advanced features

You can use the following advanced features that are provided for change tracking:

• Add and remove the objects for change tracking

You can add or remove the objects for change tracking.

• View tracked data changes

You can view tracked data changes in the DTS console.

• Modify consumption checkpoints

You can modify consumption checkpoints.

• Monitor the change tracking channel

You can monitor the status of the change tracking channel. If the latency threshold for downstream consumption is reached, you will receive an alert. You can set the alert threshold based on the sensitivity of your businesses to consumption latency.

5 Cloud Native Distributed Database PolarDB-X

5.1 What is PolarDB-X?

Cloud Native Distributed Database PolarDB-X is a middleware service independently developed by Alibaba Group for scale-out of single-instance relational databases. It is compatible with Distributed Relational Database Service (DRDS).

PolarDB-X is the standard of relational database access for Alibaba Group. It shares the database sharding logic with Taobao Distributed Data Layer (TDDL). Compatible with the MySQL protocol, PolarDB-X supports most MySQL data manipulation language (DML) and data definition language (DDL) syntax. It provides the core capabilities of distributed databases, such as database sharding, table sharding, smooth scale-out, configuration changing, and transparent read/write splitting. It is lightweight (stateless), flexible, stable, and efficient, and provides you with O&M capabilities throughout the lifecycle of distributed databases.

PolarDB-X is mainly used for operations on large-scale online data, which focuses on frontend businesses for writing data to databases. By splitting data in specific business scenarios, it maximizes operation efficiency to meet the requirements of high-concurrency and low-latency database operations.
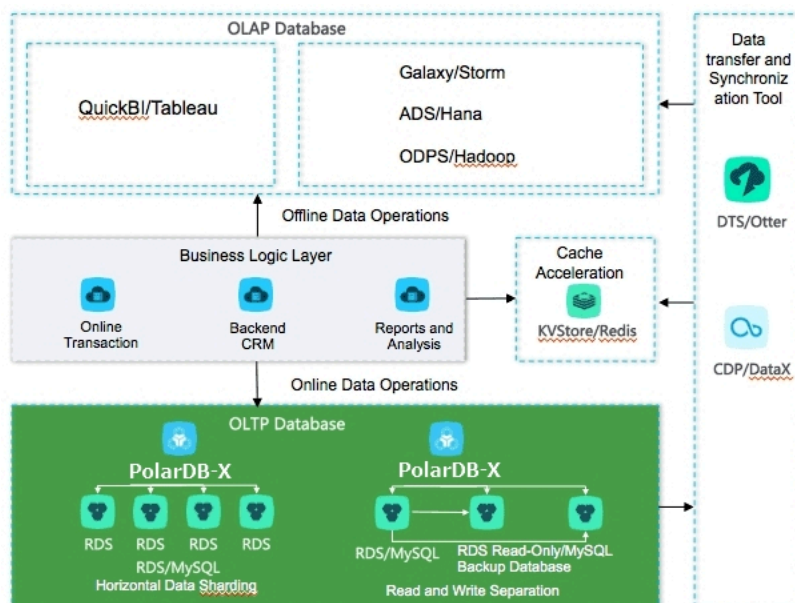
PolarDB-X mainly solves the following problems:

- Capacity bottleneck of single-instance databases: As the data volume and access volume increase, traditional single-instance databases encounter great challenges that cannot be completely solved by hardware upgrades. In distributed database solutions of PolarDB-X, multiple instances work jointly, which effectively resolves the bottlenecks of data storage capacity and access volumes.

- Difficult scale-out of relational databases: Due to the inherent attributes of distributed databases, data can be stored to different shards in PolarDB-X through smooth data migration, supporting the dynamic scale-out of relational databases.

5.2 Benefits

Distributed architecture

The distributed architecture of Cloud Native Distributed Database PolarDB-X allows horizontal partitioning of data and the cluster deployment of a single service. In this way, single-instance bottlenecks of Server Load Balancer (SLB), PolarDB-X, and ApsaraDB RDS for MySQL are resolved and service scalability is achieved.

Elastic scaling

PolarDB-X instances and ApsaraDB RDS for MySQL instances can be dynamically added and removed for flexible service capabilities.

High performance

PolarDB-X for RDS (MySQL) partitions data in specific business scenarios and clusters data based on major business operations, speeding up the response to online transactional operations. PolarDB-X for HiStore uses the columnar storage and knowledge grid to significantly speed up the response to common analytic operations such as large-scale data aggregation and ad hoc queries. It also helps reduce costs by achieving high compression ratio.

Security

PolarDB-X supports an account and permission system similar to that of single-instance databases, and provides useful functions, such as the IP address whitelist and default disabling of high-risk SQL statements. It offers comprehensive API operations for support even if they need to be integrated into the local management system. We also provide complete product support and architecture services.

5.3 Architecture

PolarDB-X supports two data output methods: overall output by Apsara Stack and
separate output by Alibaba middleware. The two output methods differ in features and the
components PolarDB-X depends on.

The following table describes the differences between them.

Item	Overall output by Apsara Stack	Separate output by Alibaba middleware
MySQL	ApsaraDB RDS for MySQL	Alibaba Group database system (DBPaaS)
Load balancing	Centralized Server Load Balancer (centralized SLB)	Client load balancer (VIPServer)
Special storage support	None	Storage with a high compression ratio (HiStore)

The following figure shows the architecture of PolarDB-X.

Figure 5-1: Figure of PolarDB-X architecture
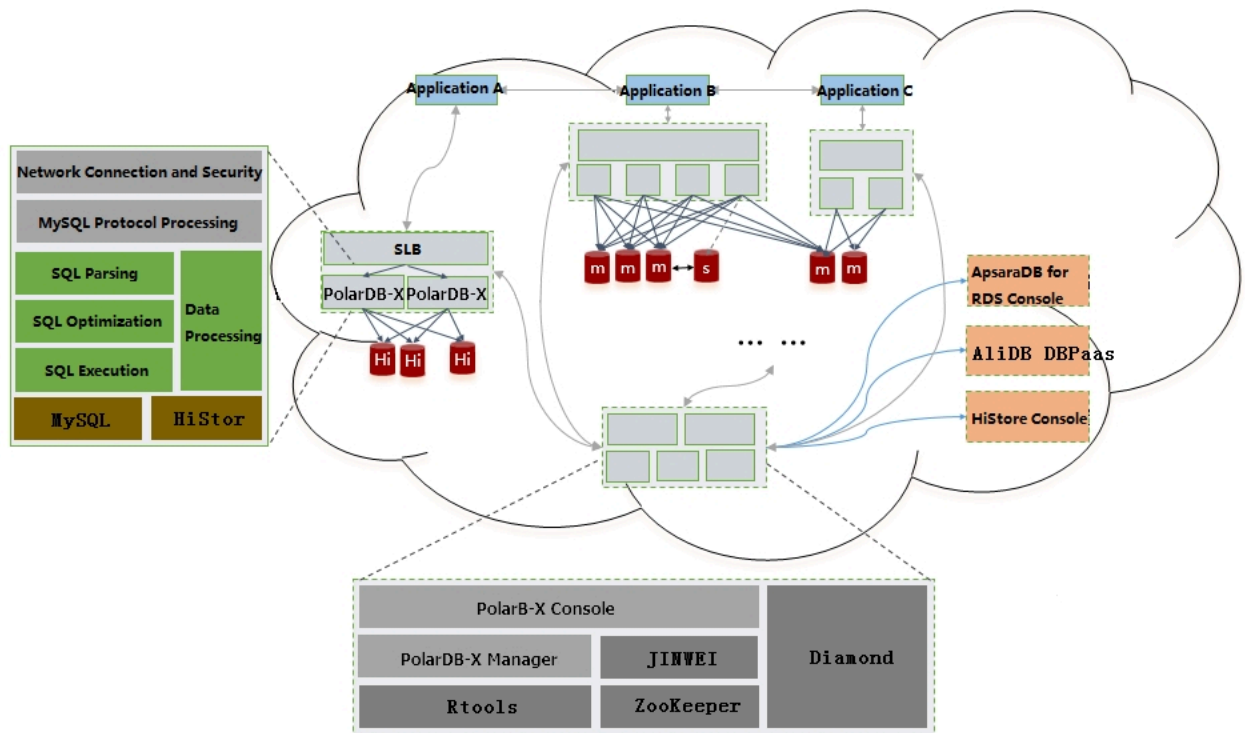
PolarDB-X Server

PolarDB-X Server is the service layer of PolarDB-X. Multiple server nodes make up a server cluster to provide distributed database services, including the read/write splitting, routed SQL execution, result merging, dynamic database configuration, and globally unique ID (GUID).

ApsaraDB RDS for MySQL (marked by m and s in the figure)

ApsaraDB RDS for MySQL stores data and performs data operations online. It implements high availability through primary/secondary replication. It also implements dynamic database failover with the primary/secondary switchover mechanism.

You can implement management, monitoring, and alerting in the instance lifecycle in the ApsaraDB RDS for MySQL console.

HiStore

When PolarDB-X outputs data separately (not overall output by Apsara Stack), PolarDB-X supports HiStore as the physical storage. HiStore is a low-cost and high-performance database developed by Alibaba to support columnar storage. By using the columnar storage, knowledge grid, and multiple cores, HiStore provides higher data aggregation and ad hoc query capabilities, with lower costs than row storage (such as MySQL).

You can implement management, monitoring, and alerting in the HiStore instance lifecycle in the HiStore console.

DBPaaS

When PolarDB-X outputs data separately (not overall output by Apsara Stack), the MySQL O&M platform DBPaaS implements management, monitoring, and alerting in the MySQL lifecycle.

SLB

You do not need to install a client on user instances. Your requests are distributed through SLB. When an instance fails or a new instance is added, SLB ensures that traffic on the underlying instances is distributed evenly.

VIPServer

You need to install a client on user instances, with a weak dependency on the central controller (interaction is performed only when the load configuration changes). User

requests are distributed through VIPServer. When an instance fails or a new instance is added, VIPServer ensures that traffic on the bound instances is distributed evenly.

Diamond

Diamond manages the configuration and storage of PolarDB-X. It provides the configuration functions for storage, query, and notification. In PolarDB-X, Diamond stores the source data of databases, and configuration data including the sharding rules, and PolarDB-X switches.

Data Replication System

Data Replication System migrates and synchronizes data for PolarDB-X. Its core capabilities include full data migration and incremental data synchronization. Its derived features include smooth data import, smooth scale-out, and global secondary index. Data Replication System requires the support of ZooKeeper and PolarDB-X Rtools.

PolarDB-X Console

PolarDB-X Console is designed for business database administrators (DBAs) to isolate resources and operations based on users. It provides functions such as instance management, database and table management, read/write splitting configuration, smooth scale-out, displaying monitored data, and IP address whitelist.

PolarDB-X Manager

PolarDB-X Manager is designed for global O&M personnel and DBAs. It manages the PolarDB-X resources and monitors the system. It provides the following main functions

- Manages all resources on which ApsaraDB RDS for MySQL instances depend, including virtual machines, SLB instances, and domain names.
- Monitors PolarDB-X instance statuses, including queries per second (QPS), active threads, connections, node network I/O, and node CPU utilization.

Rtools

Rtools is the O&M support system of PolarDB-X. It allows you to manage database configuration, read/write weights, connection parameters, topologies of databases and tables, and sharding rules.

# 5.4 Features

5.4.1 Horizontal partitioning (sharding)

The core principle of PolarDB-X is horizontal partitioning of data, where data in a logical database is distributed and stored to multiple stable MySQL databases according to certain rules. These MySQL databases can be distributed across multiple instances or even across data centers, but provide external services (add, delete, modify, and query operations) as a single MySQL database. After partitioning, a physical database on an MySQL instance is called a database shard and a physical table is called a table shard (each table shard is a part of the complete data). By moving database shards on different MySQL instances, PolarDB-X implements database scale-out and improves the overall access to and the storage capacity of PolarDB-X databases.

PolarDB-X provides sharding rules, allowing you to select a partitioning policy that fits your business data characteristics. This ensures low latency for online database operations for transactions in high-concurrency scenarios. Therefore, when you use PolarDB-X, choosing the shard key is one of the important steps in database table structure design. The general principles are as follows:

- PolarDB-X performs well when writing data at the frontend. Most operations of such businesses are performed based on a specific database entity. For example, the business operations of the Internet are performed for users, the business operations of Internet of Things (IoT) are performed for devices and vehicles, the business operations of banks and government agencies are performed for customers, and the business operations of e-commerce independent software vendors (ISVs) and catering ISVs are performed for merchants. The data of such businesses can be partitioned by database entity. This, combined with global secondary indexes and eventually consistent transactions, can address the requirements on databases for large data volume, high concurrency, and low latency.

- For backend businesses, a batch of data is filtered and displayed on pages by condition and then processed and written back to the database. This is a business scenario in which PolarDB-X can partially address the needs. In this case, a large number of single-table associations and multi-table associations may exist, multiple filtering conditions are combined for DELETE and SELECT operations, and a large number of multi-table transactions are processed. Data partitioning by entity is recommended for such scenarios. If database processing is tightly related to time, data can be partitioned by time.

The following figure shows how data partitioning works.

Figure 5-2: Figure of data partitioning
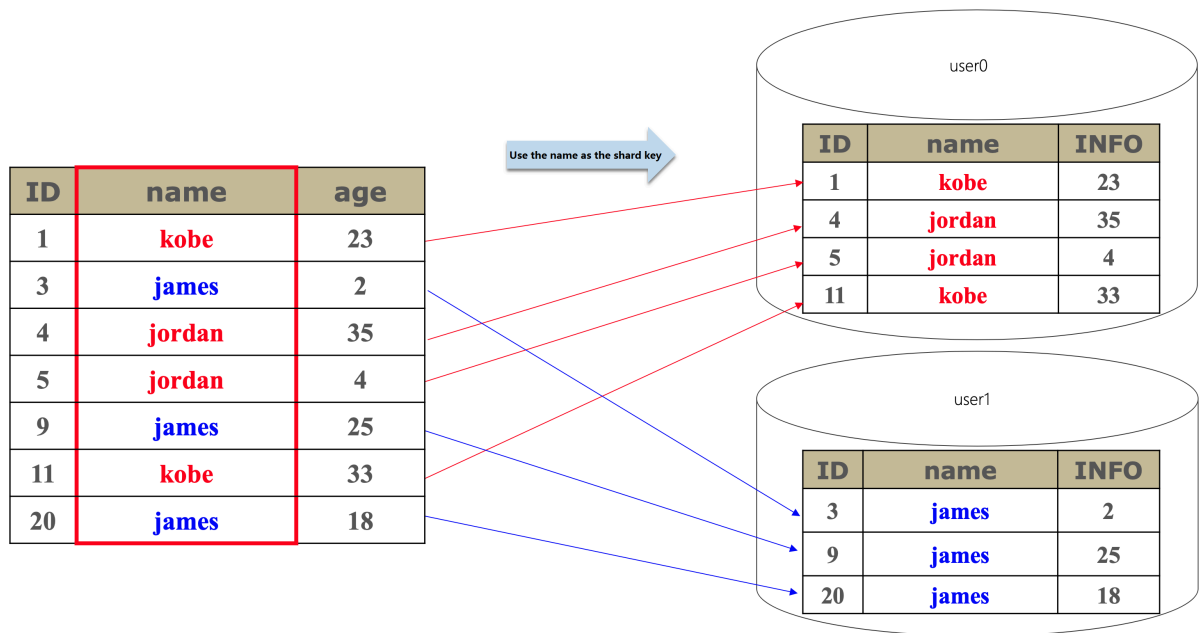
5.4.2 Smooth scale-out

To scale out a PolarDB-X instance, you can add ApsaraDB RDS for MySQL instances and migrate the original database shards to the new ApsaraDB RDS for MySQL instances.

How PolarDB-X scale-our works

Follow these steps:

1. Create a scale-out plan.

Select a new ApsaraDB RDS for MySQL instance and database shards to be migrated. After the task is submitted, the system automatically creates a database and an account on the destination instance and submits a task for data migration and synchronization.

2. Perform full data migration.

The system selects a time point before the current time and copies and migrates all data generated before this time point.

3. Perform incremental synchronization.

After a full migration is completed, incremental data is synchronized according to the incremental change logs generated between a time point before the full migration and the current time, and eventually, the data is synchronized from the source database shard to the destination database shard in real time.

4. Verify data.

When the incremental data is synchronized in quasi-real time, the system automatically performs full data verification and corrects inconsistent data caused by synchronization latency.

5. Disable the application service and switch routes.

After verification, the incremental data is still synchronized in quasi-real time, and a specified time is selected for the switch. To ensure strict data consistency, we recommend that you disable the service (you can also not disable the service but the same data may be overwritten at a high concurrency). The engine layer switches routes based on database sharding rules to switch subsequent traffic to the new database. The switching process can be completed within seconds.

The following figure shows data migration between database shards.

Figure 5-3: Scale-out
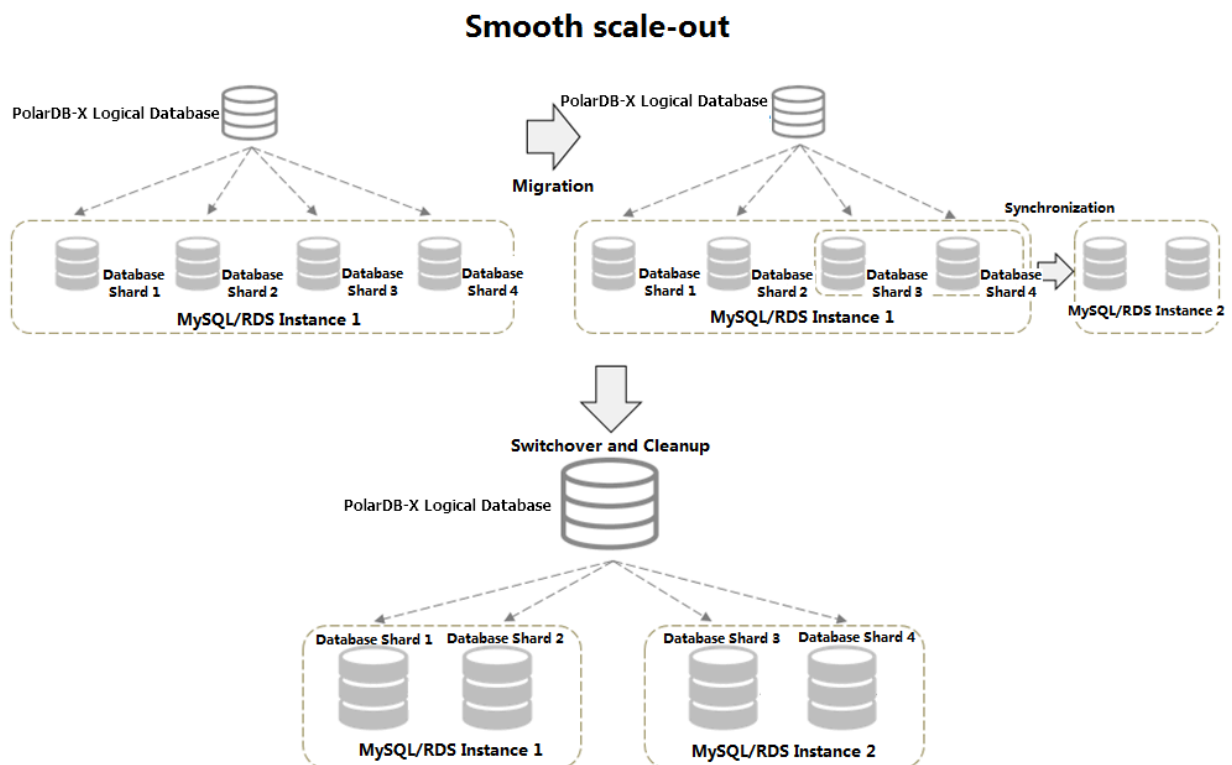
To ensure data security and facilitate rollback of a scale-out task, data synchronization continues after the routing rule is switched. After the data O&M personnel confirm that the service is normal, you can clean up data in the source database shard in the console.

The whole scale-out process has little impact on services of the upper layer (some services may be affected if the instance type of the ApsaraDB RDS for MySQL instance is not satisfactory or its traffic pressure is high). If the service is not disabled during the switch, we recommend that you perform this operation when the database access traffic is low to reduce the possibility of concurrently updating the same data.

5.4.3 Read/write splitting

The read/write splitting function of PolarDB-X is a relatively transparent policy to switch over the read traffic for ApsaraDB RDS for MySQL instances.

You can add read-only ApsaraDB RDS for MySQL instances and adjust their read weights in the PolarDB-X console without code modification if your business applications can tolerate the latency of data synchronization between read-only instances and the primary instance. The read traffic is proportionally adjusted between the primary ApsaraDB RDS for MySQL instance and multiple read-only ApsaraDB RDS for MySQL instances. Write operations and transaction operations are performed on the primary ApsaraDB RDS for MySQL instance.

Note that a latency exists for data synchronization between the primary instance and read -only instances. When a large data definition language (DDL) statement is executed or a large volume of data is being corrected, the latency may be over one minute. Therefore, consider whether your business can tolerate the impact before using this function.

Adding read-only instances improves the read performance linearly. For example, if there is only one read-only instance, the read performance is doubled after one other read-only instance is added or tripled after two other read-only instances are added.

Traffic distribution and instance addition for read/write splitting

The read/write splitting function of PolarDB-X requires no modification of application code. You only need to add read-only instances and adjust the weights of read operations in the PolarDB-X console, to proportionally adjust the read traffic between the primary instance and multiple read-only instances. The write operations are performed on the primary instance.

Adding read-only instances improves the read performance linearly. For example, if there is one read-only instance, the read performance is doubled after one other read-only

instance is added or tripled after two other read-only instances are added, as shown in the

 following figure.

Figure 5-4: Traffic distribution and expansion for read/write splitting
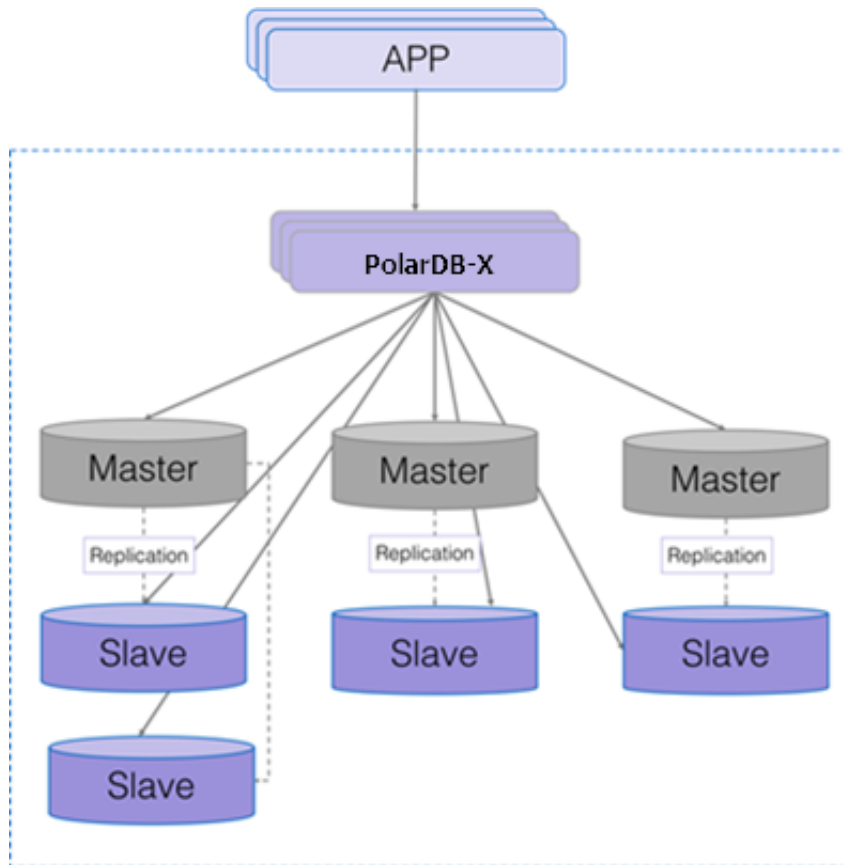
All data in the read operations on a read-only instance is asynchronously synchronized from the primary instance with a millisecond-level latency. For SQL statements that require high real-time performance, you can specify the primary instance through PolarDB-X Hint to execute these SQL statements, as shown in the following code:

/*TDDL:MASTER/select * from tddl5_users;

PolarDB-X allows you to run SHOW NODE to view the actual distribution of read traffic, as shown in the following figure.

Figure 5-5: SHOW NODE to view the actual distribution of read traffic

```
mysql> show node;
    +------+-------------------+------------------+-----------------+-------------------+------------------+
    | ID   | NAME              | MASTER_READ_COUNT | SLAVE_READ_COUNT | MASTER_READ_PERCENT | SLAVE_READ_PERCENT |
    +------+-------------------+------------------+-----------------+-------------------+------------------+
    | 0    | USERDATABASE_RDS  |               10 |                2 |               83% |              17% |
    +------+-------------------+------------------+-----------------+-------------------+------------------+
1 row in set (0.00 sec)
```

Read/write splitting in non-partition mode

The read/write splitting function of PolarDB-X can be used independently in non-partition mode.

When you select an ApsaraDB RDS for MySQL instance for creating a PolarDB-X database in the PolarDB-X console, you can directly introduce a logical database on the ApsaraDB RDS for MySQL instance to the PolarDB-X database for read/write splitting without data migration.

5.4.4 Service upgrade and downgrade

A PolarDB-X instance consists of multiple server nodes that are deployed in a cluster and provides services externally through Server Load Balancer (SLB) and Domain Name System (DNS). The server nodes of PolarDB-X do not synchronize states, they process external requests in a balanced manner. When the processing capability of the server cluster is insufficient, server nodes can be added in real time to improve the service capability. If the resource utilization of all PolarDB-X server nodes in the cluster is low, you can remove some server nodes to downsize the cluster, and lower the capability of the service layer, for the

elastic scaling of service capabilities. Figure 5-6: Figure of service upgrade and downgrade shows the details.

Figure 5-6: Figure of service upgrade and downgrade
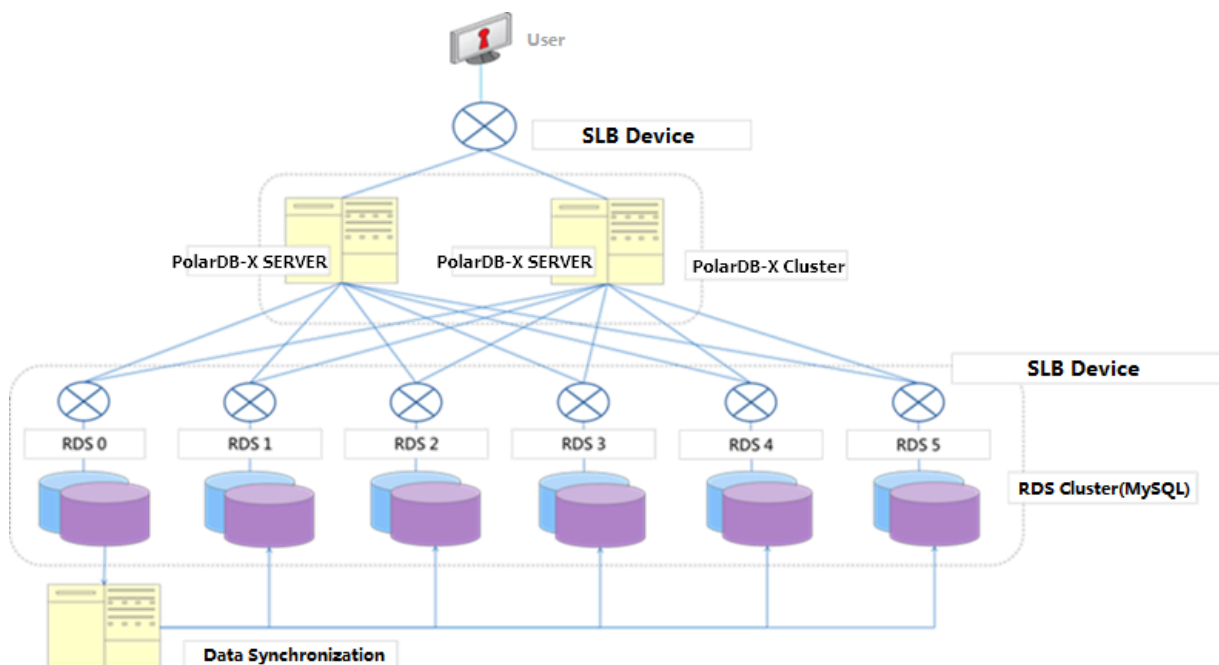
5.4.5 Account and permission system

The account and permission system of PolarDB-X is used in the same way as MySQL, but does not support authorization across multiple databases, and has fewer permissions than MySQL. The system supports statements including GRANT, REVOKE, SHOW GRANTS, CREATE USER, DROP USER, and SET PASSWORD. It can be used to grant database-level and table-level permissions, but global and column-level permissions are not supported.

Account rules:

- The administrator account created in the console has all permissions.
- Only the administrator account can create and authorize accounts. Other accounts can only be created and authorized by the administrator account.
- The administrator account is bound to a database and does not have permissions on other databases. It can only access the bound database, and cannot grant permissions of other databases to an account. For example, the easydb administrator account can only connect to the easydb database, and can only grant permissions of the easydb database or tables in the easydb database to an account.

Currently, eight table-associated basic permissions are supported: CREATE, DROP, ALTER, INDEX, INSERT, DELETE, UPDATE, and SELECT. Among these operations:

- The TRUNCATE operation requires the table-level DROP permission.

- The REPLACE operation requires the table-level INSERT and DELETE permissions.

- The CREATE INDEX and DROP INDEX operations require the table-level INDEX permission.

- The CREATE SEQUENCE operation requires the database-level CREATE permission.

- The DROP SEQUENCE operation requires the database-level DROP permission.

- The ALTER SEQUENCE operation requires the database-level ALTER permission.

- The INSERT ON DUPLICATE UPDATE statement requires the table-level INSERT and UPDATE permissions.

5.4.6 PolarDB-X sequence

The PolarDB-X sequence of PolarDB-X (a 64-digit number of the signed BIGINT type in MySQL) aims to generate a globally unique number sequence (not necessarily in increments). This sequence is usually used to generate keys such as a primary key column and a unique key.

The PolarDB-X sequence of PolarDB-X can be implicitly used. When a table is partitioned to table shards, the primary key is auto_increment, and business data is inserted with no primary key specified, the globally unique primary key is automatically set, just like the single-instance MySQL database.

The PolarDB-X sequences can also be explicitly used. You can run select xxx_seq.nextval from dual where count= ? to obtain one or more PolarDB-X sequences for other use in the application.

5.4.7 Second-level monitoring

PolarDB-X allows users to run **SHOW FULL STATS** to implement second-level monitoring. This command operates with the business monitoring system of PolarDB-X or third-party open-source monitoring software to provide better monitoring and alerting effect.

The following table describes the metrics supported by this command.

Metric	Description
QPS	The logical queries per second (QPS) specifying queries from an application to a PolarDB-X instance.
RDS_QPS	The QPS from a PolarDB-X instance to an ApsaraDB RDS for MySQL instance is called physical QPS.

Metric	Description
ERROR_PER_SECOND	The number of errors per second, which is the sum of SQL syntax errors, primary key conflicts, system errors, and connectivity errors.
VIOLATION_PER_SECOND	The number of primary key conflicts or unique key conflicts per second.
MERGE_QUERY_PER_SECOND	The number of queries merged from multiple table shards.
ACTIVE_CONNECTIONS	The number of connections in use.
CONNECTION_CREATE_PER_SECOND	The number of connections created per second.
RT(MS)	The logical response time (RT) for a response from an application to a PolarDB-X instance.
RDS_RT(MS)	The physical RT for a response from a PolarDB-X instance to an ApsaraDB RDS for MySQL instance.
NET_IN(KB/S)	The network traffic received by PolarDB-X
NET_OUT(KB/S)	The network traffic sent by PolarDB-X
THREAD_RUNNING	The number of running threads.
HINT_USED_PER_SECOND	The number of queries with hints per second.
HINT_USED_COUNT	The total number of queries with hints since startup.
AGGREGATE_QUERY_PER_SECOND	The number of aggregate queries per second .
AGGREGATE_QUERY_COUNT	The total number of historical aggregate queries.
TEMP_TABLE_CREATE_PER_SECOND	The number of temporary tables created per second.
TEMP_TABLE_CREATE_COUNT	The total number of temporary tables created since startup.
MULTI_DB_JOIN_PER_SECOND	The number of cross-database JOIN queries per second.
MULTI_DB_JOIN_COUNT	The total number of cross-database JOIN queries since startup.

5.4.8 Distributed SQL engine

The distributed SQL engine of PolarDB-X is designed to achieve high compatibility with a single-instance MySQL database, to implement SQL push-down. PolarDB-X allows you to perform SQL operations, such as analysis, optimization, routing, and data aggregation.

The core principles of SQL push-down are as follows:

- Process data as close to the data as possible.

- Reduce data transfers over the network.

- Reduce data processing on PolarDB-X and offload the processing work to the lower-level data nodes whenever possible.

- Make full use of the features and capabilities of database storage.

5.4.9 High-availability architecture

Automatic traffic switchover of PolarDB-X Server

The PolarDB-X Server component of a PolarDB-X instance consists of multiple server nodes and provides services as a single connection through a load balancing service. When a PolarDB-X server fails, its traffic switches over to another PolarDB-X server in seconds. The entire failover process is transparent to users, with no need to change the application code or restart the application.

Automatic traffic switchover

PolarDB-X supports the read/write splitting function. You can sign in to the console, choose **DRDS Database** > **Read/Write Splitting**, and configure the function. This function allocates some read traffic to the secondary instances. PolarDB-X identifies the read SQL requests and distributes them to the primary and secondary ApsaraDB RDS for MySQL instances based on the configured ratio, to implement read/write splitting. A secondary instance allocated with only read traffic is called a read-only instance.

If multiple read-only instances are configured but one of them fails (the connection fails), PolarDB-X automatically withdraws the read traffic from the failed instance, and then re-allocates the traffic based on the ratio of read traffic in the remaining normal read-only instances.

The automatic traffic switchover process of read-only instances is transparent to users. You do not need to restart applications. When no read-only instance is available, read requests are still allocated proportionally to both the read-only and the primary instances

, to prevent the primary instance from being overloaded. Errors are reported for the read requests allocated to read-only instances .

> **Note:**
>
> All write requests and transactions are automatically routed to the primary instance for execution, regardless of the availability of read-only instances.

5.4.10 Software upgrade

- PolarDB-X automatically provides new versions of installed database software.

- Software upgrade is optional. It is carried out only upon your request.

- If PolarDB-X determines that your version has major security risks, it will notify you to schedule the upgrade. The PolarDB-X team will provide support during the entire upgrade process.

- The PolarDB-X upgrade process is generally completed within 5 minutes. During the upgrade process, there may be several transient database disconnections. There is minimal interruption to applications if the database reconnection (or connection pool) is properly configured for applications.

5.4.11 SQL compatibility

PolarDB-X is compatible with the MySQL protocols and supports most MySQL query syntax, common data manipulation language (DML) syntax, and data definition language (DDL) syntax. However, the pronounced architectural differences between distributed databases and single-instance databases restrict the usage of SQL. The compatibility and SQL restrictions are described as follows.

> **Note:**
>
> Since there are many MySQL versions and the MySQL syntax and PolarDB-X versions are constantly updating, the compatibility discussed in this document is for reference only. Determine whether the selected MySQL version matches your business according to the actual test results.

PolarDB-X SQL restrictions

SQL restrictions are as follows:

- Custom data types and functions are not supported at present.
- Views, stored procedures, triggers, and cursors are not supported at present.

- Compound statements such as BEGIN...END, LOOP...END LOOP, REPEAT...UNTIL...END
  REPEAT, and WHILE...DO...END WHILE are not supported at present.
- Process control statements such as IF and WHILE are not supported at present.

Small syntax restrictions

DDL:

- CREATE TABLE tbl_name LIKE old_tbl_name does not support table sharding.
- CREATE TABLE tbl_name SELECT statement does not support table sharding.

DML:

- SELECT INTO OUTFILE, SELECT INTO DUMPFILE, and SELECTvar_name are not supported at
   present.
- INSERT DELAYED is not supported at present.
- Subqueries irrelevant to the WHERE condition are not supported at present.
- SQL subqueries that contain aggregation conditions are not supported at present.
- Variable references and operations in SQL statements are not supported at present, for
  example, SET @c=1, @d=@c+1; SELECT @c, @d.

Database management:

- SHOW WARNINGS does not support the LIMIT/COUNT combination.
- SHOW ERRORS does not support the LIMIT/COUNT combination.

Compatibility of PolarDB-X with SQL

Compatibility with MySQL protocols

PolarDB-X supports mainstream clients such as MySQL Workbench, Navicat For MySQL, and
SQLyog.

> 📋 **Note:**
>
> PolarDB-X supports the add, delete, modify, and query operations on databases. However,
> other special functions (such as import and diagnosis) have not been thoroughly tested.

PolarDB-X is compatible with the following DDL statements:

- CREATE TABLE
- CREATE INDEX
- DROP TABLE
- DROP INDEX

- ALTER TABLE

- TRUNCATE TABLE

PolarDB-X is compatible with the following DML statements:

- INSERT

- REPLACE

- UPDATE

- DELETE

- Subquery

- Scalar subquery

- Comparisons subquery

- Subquery with ANY, IN, or SOME

- Subquery with ALL

- Subquery by column

- Subquery with EXISTS or NOT EXISTS

- Subquery in the FROM clause

- SELECT

PolarDB-X is compatible with the following PREPARE statements:

- PREPARE

- EXECUTE

- DEALLOCATE PREPARE

PolarDB-X is compatible with the following database management statements

- SET

- SHOW

- KILL 'PROCESS_ID' (PolarDB-X only supports the KILL 'PROCESS_ID' command but does
  not support the KILL QUERY command.)

- SHOW COLUMNS

- SHOW CREATE TABLE

- SHOW INDEX

- SHOW TABLES

- SHOW TABLE STATUS

- SHOW TABLES

- SHOW VARIABLES

- SHOW WARNINGS

- SHOW ERRORS

> ⓘ **Notice:**
>
> Other SHOW commands are delivered to the database for processing by default, and the
> returned result data in different shards are not merged.

PolarDB-X is compatible with the following database tool statements:

- DESCRIBE

- EXPLAIN

- USE

Custom instructions of PolarDB-X are as follows:

- SHOW SEQUENCES, CREATE SEQUENCE, ALTER SEQUENCE, and DROP

- SEQUENCE. It manages PolarDB-X sequences.

- SHOW PARTITIONS FROM TABLE. It queries table shard keys.

- SHOW TOPOLOGY FROM TABLE. It queries the physical topology of a table.

- SHOW BROADCASTS. It queries all broadcast tables.

- SHOW RULE [FROM TABLE]. It queries the table sharding rule.

- SHOW DATASOURCES. It queries data sources of the backend database connection pool.

- SHOW DBLOCK/RELEASE DBLOCK. It defines the distributed LOCK.

- SHOW NODE. It queries the database read and write traffic.

- SHOW SLOW. It queries the slow SQL statements.

- SHOW PHYSICAL_SLOW. It queries slow SQL statements executed in the physical
  database.

- TRACE SQL_STATEMENT/SHOW TRACE. It traces the SQL statement execution process.

- EXPLAIN [DETAIL/EXECUTE] SQL_STATEMENT. It analyzes the SQL execution plans of
  PolarDB-X and physical databases.

- RELOAD USERS. It synchronizes the user information from PolarDB-X Console to the
  PolarDB-X Server.

- RELOAD SCHEMA. It clears data caches in the corresponding PolarDB-X database, such
  as cache of SQL parsing, syntax tree, and table structure.

- RELOAD DATASOURCES. It rebuilds a connection pool that connects the backend to all
  databases.

Database functions:

- SQL statements with shard keys are supported by all MySQL functions.

- SQL statements without a shard key are supported by only some functions.

- Operator functions

Function	Description
AND, &&	Logical AND
=	Assigns a value (a part of the SET statement or a part of the SET clause in the UPDATE statement).
BETWEEN... AND...	Determines a certain range of a value.
BINARY	Converts a string into a binary string.
&	Bitwise AND
~	Bitwise negation
^	Bitwise Exclusive OR (XOR)
DIV	Returns an integer obtained from integer division.
/	Division operator
<=>	NULL-safe equal operator
=	Equal operator, it compares the equality of two strings
>=	Operator, greater than or equal to
>	Greater than
IS NOTNULL	Tests for a non-NULL value.
ISNOT	Tests for a non-Boolean value.
ISNULL	It tests for a NULL value.
IS	Tests for a Boolean value.
<<	Bitwise left shift operator
<=	Operator, less than or equal to
<	Operator, less than
LIKE	Compares a character string to a specified string pattern.
-	Minus operator
%,	Returns the remainder of a number divided by another number.
NOTBETWEEN... AND...	Determines a certain range that a value is not in.

Function	Description
! =, <>	Operator, not equal to
NOTLIKE	Finds a specific character string that does not match a specified pattern.
NOTREGEXP	NOT operator in regular expressions
NOT, !	NOT
OR	Logical OR
+	Plus operator
REGEXP	Uses a regular expression for matching.
>>	Bitwise right shift operator
RLIKE	Uses a regular expression for matching. It is the same as REGEXP 。
*	Multiplication operator
-	Takes the opposite value of the parameter.
XOR	Logical XOR
Coalesce	Returns the first non-NULL parameter.
GREATEST	Returns the largest parameter value.
LEAST	It returns the smallest parameter value.
STRCMP	Compares two strings.

- Process control functions

Function	Description
CASE	Case operator
IF()	If/else structure
IFNULL()	Null if/else structure
NULLIF()	If expr1 = expr2, NULL is returned.

- Numeric functions

Function	Description
ABS()	Returns the absolute value.
ACOS()	Returns the arc cosine of a number.
ASIN()	Returns the arc sine of a number.
ATAN2()	Returns the arc tangent of two parameters.

Function	Description
ATAN()	Returns the arc tangent of a parameter.
CEIL()	Obtains the smallest integer greater than or equal to a number.
CEILIG()	Obtains the smallest integer greater than or equal to a number.
CONV()	Converts a number between different number bases.
COS()	Returns the cosine of a number.
COT()	Returns the cotangent of a number.
CRC32()	Calculates the cyclic redundancy check (CRC) value.
DEGREES()	Converts a radian to a degree.
DIV	Returns an integer obtained from integer division.
EXP()	Returns e raised to the power of the specified number.
FLOOR()	Obtains the largest integer less than or equal to a number.
LN()	Returns the natural logarithm of a parameter.
LOG10()	Returns the logarithm with the base 10 of the parameter.
LOG2()	Returns the logarithm with the base 2 of the parameter.
LOG()	Returns the natural logarithm of the first parameter.
MOD()	Returns the remainder of a number.
%,MOD	Returns the remainder of a number divided by another number.
PI()	Returns the value of Pi.
POW()	Returns N power of the first parameter, where N is the second parameter.
POWER()	Returns N power of the first parameter, where N is the second parameter.
RADIANS()	Converts a parameter into a radian.
RAND()	Returns a random floating-point number.
ROUND()	Rounds up or down to an integer.
SIGN()	Returns the positive or negative sign of a parameter.
SIN()	Returns the sine value of a parameter.
SQRT()	Returns the square root of a parameter.
TAN()	Returns the tangent of a parameter value.
TRUNCATE(	Truncates to the specified decimal place.

- String functions

Function	Description
ASCII()	Returns the ASCII value of a character.
BIN()	Returns the binary value of a character.
BIT_LENGTH()	Returns the bit length of a string.
CHAR_LENGTH()	Returns the number of characters in a string.
CHAR()	Converts an input integer into a character.
CHARACTER_LENGTH()	Returns the number of characters in a string. It is the same as CHAR_LENGTH().
CONCAT_WS()	Connects the input parameters by using the specified separator.
CONCAT()	Returns a connection string.
ELT()	Returns the string at the index number.
EXPORT_SET()	-
FIELD()	Returns the index position of the first parameter in subsequent parameters.
FIND_IN_SET()	Returns the index position of the first parameter in the second parameter.
FORMAT()	Returns the formatted numbers of the specified decimal places.
HEX()	It converts a decimal number or string into a hexadecimal number.
INSERT()	Inserts a substring of a specified number of characters at the specified place.
INSTR()	Returns the index position where the substring appears for the first time.
LCASE()	Converts to lowercase letters. It is the same as LOWER().
LEFT()	Returns the characters of the specified number that is the furthest left.
LENGTH()	Returns the number of bytes of a string.
LIKE	Finds a specific character string matches a specified pattern.
LOCATE()	Returns the position where the substring appears for the first time.

Function	Description
LOWER()	Converts to lowercase letters.
LPAD()	Pads the left side of a string with a specific set of characters.
LTRIM()	Removes spaces at the beginning.
MAKE_SET()	Returns a set value (a string containing substrings separated by , characters) consisting of the characters specified in the first argument.
MID()	Extracts a substring from a string (starting at the specified position).
NOTLIKE	Finds a specific character string that does not match a specified pattern.
NOTREGEXP	Performs a pattern match of a string expression against a pattern.
OCT()	Converts a number to an octal number by a string.
OCTET_LENGTH()	Returns the number of bytes of a string. It is the same as LENGTH().
ORD()	Returns the code for the leftmost character of the given parameter.
POSITION()	Returns the position where the sub-string occurs for the first time. It is the same as LOCATE().
QUOTE()	Escapes parameters for use in SQL statements.
REPEAT()	Repeats a string for a specified number of times.
REPLACE()	Replaces the specified string in all the places where it appears.
REVERSE()	Reverses characters in a string.
RIGHT()	Returns the characters of the specified number that is the furthest right.
RPAD()	Pads strings for the specified number of times from the right.
RTRIM()	Removes spaces at the end.
SPACE()	Returns a string consisting of specified spaces.
STRCMP()	Compares two strings.
SUBSTR()	Returns the specified substring.

Function	Description
SUBSTRING_INDEX()	Returns the substring that appears for a specified number of times and in front of a separator in a string.
SUBSTRING()	Returns the specified substring.
TRIM()	Removes spaces at the beginning and end.
UCASE()	Converts a string to all uppercase. It is the same as UPPER ().
UNHEX()	Returns a string that is the hexadecimal value of the parameter.
UPPER()	Converts a string to uppercase.

- Time functions

Function	Description
ADDDATE()	Adds a time value (an interval) to a date.
ADDTIME()	Adds a time interval to a time/datetime and then returns the time/datetime.
CURDATE()	Returns the current date.
CURRENT_DATE()	Returns the current date. It is the same as CURDATE().
CURRENT_TIME()	Returns the current time. It is the same as CURTIME().
CURRENT_TIMESTAMP()	Returns the current date and time. It is the same as NOW().
CURTIME()	Returns the current time.
DATE_ADD()	Adds a time value (an interval) to a date.
DATE_FORMAT()	Formats the date as required.
DATE_SUB()	Subtracts a specified time value (an interval) from a date.
DATE()	Extracts the date from the date expression or datetime expression.
DATEDIFF()	Subtracts one date from the other date.
DAY()	Returns the day (0-31) of a month for the specified date. It is the same as DAYOFMONTH().
DAYNAME()	Returns the weekday for a date.
DAYOFMONTH()	Returns the day (0-31) of a month for the specified date.
DAYOFWEEK()	Returns the day of a week (1 for Sunday and 7 for Saturday) for a date.
DAYOFYEAR()	Returns the day (1-366) of a year for a date.

Function	Description
EXTRACT()	Extracts a part of a date.
FROM_DAYS()	Converts a day to a date.
FROM_UNIXTIME()	Formats a UNIX timestamp as a date.
GET_FORMAT()	Returns a string of the date format.
HOUR()	Extracts hours from input time parameters.
LAST_DAY()	Returns the last day of the month for the parameter.
LOCALTIME()	Returns the current date and time. It is the same as NOW().
LOCALTIMESTAMP, LOCALTIMESTAMP()	Returns the current date and time. It is the same same as NOW().
MAKEDATE()	Returns the date, containing the year and the number of days.
MAKETIME()	Constructs a time containing the hour, minute, and second.
MICROSECOND()	Returns the microsecond of a parameter.
MINUTE()	Returns the minute of a parameter.
MONTH()	Returns the month of the input date.
MONTHNAME()	Returns the name of a month.
NOW()	Returns the current date and time.
PERIOD_ADD()	Adds a period to a date containing the year and month.
PERIOD_DIFF()	Returns the number of months between two periods.
QUARTER()	Returns the quarter of the date parameter.
SEC_TO_TIME()	Converts the second into the time in 'HH:MM:SS' format.
SECOND()	Returns the second (0-59) of a minute.
STR_TO_DATE()	Converts the string to a date.
SUBDATE()	Subtracts a specified time value (an interval) from a date when three parameters are called. It is the same as DATE_SUB().
SUBTIME()	Subtracts a time interval from a time/datetime and then returns the time/datetime.
SYSDATE()	Returns the function execution time.
TIME_FORMAT()	Formats the time.
TIME_TO_SEC()	Converts a parameter into a second.

Function	Description
TIME()	Extracts the time of an input parameter.
TIMEDIFF()	Returns the difference between two time/datetime expressions.
TIMESTAMP()	Returns a datetime value or expression based on a date or datetime value. If there are two arguments specified with this function, it first adds the second argument to the first, and then returns a datetime value.
TIMESTAMPADD()	Adds a time interval to the datetime expression.
TIMESTAMPDIFF()	Subtracts a time interval from the datetime expression.
UNIX_TIMESTAMP()	Returns the UNIX timestamp.
UTC_DATE()	Returns the current UTC date.
UTC_TIME()	Returns the current UTC time.
UTC_TIMESTAMP()	Returns the current UTC date and time.
WEEKDAY()	Returns the weekly index, where 1 indicates Sunday and 7 indicates Saturday.
WEEKOFYEAR()	Returns the number of the week on the calendar for a date.
YEAR()	It returns the year.

- Type conversion functions

Function	Description
BINARY	Converts a string into a binary string.
CAST()	Converts a value into a type.
CONVERT()	It converts a value into a type.

5.4.12 Table sharding

PolarDB-X provides convenient table sharding and changing functions, allowing you to flexibly partition a table into table shards, to glue table shards to a table, and to transfer data from one table shard to another.

5.4.13 Multi-zone instances

PolarDB-X allows you to select a multi-zone PolarDB-X instance. This ensures the PolarDB-X instance availability when one of the zones is unavailable.

5.4.14 Zone-disaster recovery

PolarDB-X provides the zone-disaster recovery function, supporting migration between single-zone instances and dual-zone instances. Zone-based disaster recovery can be performed if an inappropriate zone is selected for the target PolarDB-X instance or the available ApsaraDB RDS for MySQL instances in the target PolarDB-X zone are insufficient.

5.4.14 Zone-disaster recovery